

SEBI Registration Number - INH000013536

# Complaint Data to be displayed by RAs

Formats for investors complaints data to be disclosed monthly by RAs on their website/mobile application:

# Data for the month ending April 2024

| Sr. | Received  | Pending at | Received | Resol | Total    | Pending    | Average    |
|-----|-----------|------------|----------|-------|----------|------------|------------|
| No  | from      | the end of |          | ved*  | Pending# | complaints | Resolution |
|     |           | last month |          |       |          | > 3months  | time^      |
|     |           |            |          |       |          |            | (in days)  |
| 1   | Directly  | 0          | 0        | 0     | 0        | 0          | 0          |
|     | from      |            |          |       |          |            |            |
|     | Investors |            |          |       |          |            |            |
| 2   | SEBI      | 0          | 0        | 0     | 0        | 0          | 0          |
|     | (SCORE    |            |          |       |          |            |            |
|     | S)        |            |          |       |          |            |            |
| 3   | Other     | 0          | 0        | 0     | 0        | 0          | 0          |
|     | Sources   |            |          |       |          |            |            |
|     | (if any)  |            |          |       |          |            |            |
|     | Grand     | 0          | 0        | 0     | 0        | 0          | 0          |
|     | Total     |            |          |       |          |            |            |

^ Average Resolution time is the sum of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

# Trend of monthly disposal of complaints

| Sr. | Month       | Carried forward from | Received | Resolved* | Pending# |
|-----|-------------|----------------------|----------|-----------|----------|
| No. |             | previous month       |          |           |          |
| 1   | April, 2024 | 0                    | 0        | 0         | 0        |
|     | Grand Total | 0                    | 0        | 0         | 0        |

<sup>\*</sup>Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

### Trend of annual disposal of complaints

| SN | Year        | Carried forward    | Received | Resolved* | Pending# |
|----|-------------|--------------------|----------|-----------|----------|
|    |             | from previous year |          |           |          |
| 1  | 2023-24     | 0                  | 3        | 3         | 0        |
| 2  | 2024-25     | 0                  | 0        | 0         | 0        |
|    | Grand Total | 0                  | 3        | 3         | 0        |

<sup>\*</sup>Inclusive of complaints of previous years resolved in the current year.

#Inclusive of complaints pending as on the last day of the year.

#### **SEBI Scores Link**

New: <a href="https://scores.sebi.gov.in/scores-home">https://scores.sebi.gov.in/scores-home</a>

Old: <a href="https://scores.gov.in/scores/Welcome.html">https://scores.gov.in/scores/Welcome.html</a>

Filing of complaints on SCORES – Easy & quick

- a. Register on SCORES portal
- b. Mandatory details for filing complaints on SCORES: Name, PAN, Address,

Mobile Number, Email ID

- c. Benefits
  - 1. Effective communication
  - 2. Speedy redressal of the grievances SCORES